Capacity Constraints in Cognitive Function



Multitasking and Control

• Miller's Law





Multitasking and Control

• Miller's Law





This concerns a feature of cognitive control that is its... simplest...

This concerns a feature of cognitive control that is its... simplest... most basic...

This concerns a feature of cognitive control that is its... simplest... most basic... most general...

This concerns a feature of cognitive control that is its... simplest... most basic... most general... most influential...

This concerns a feature of cognitive control that is its... simplest... most basic... most general... most influential... most striking...

This concerns a feature of cognitive control that is its... simplest... most basic... most general... most general... most influential... and most embarrassing one:

This concerns a feature of cognitive control that is its... simplest... most basic... most general... most influential... most striking... and most embarrassing one: the radical constraint on its engagement

This concerns a feature of cognitive control that is its... simplest... most basic... most general... most influential... most striking... and most embarrassing one: the radical constraint on its engagement

There are even *laws* prohibiting allocation of control to more than one task at a time:

Talking on a cell phone and driving

• Central to the original theories of cognitive control (e.g., Posner & Snyder, 1975; Shiffrin & Schneider, 1977)

- Central to the original theories of cognitive control (e.g., Posner & Snyder, 1975; Shiffrin & Schneider, 1977)
- Continues to define the methodology for studying the engagement of cognitive control: dual task designs

- Central to the original theories of cognitive control (e.g., Posner & Snyder, 1975; Shiffrin & Schneider, 1977)
- Continues to define the methodology for studying the engagement of cognitive control: dual task designs
- Foundational assumption of most\* current models of cognitive control (e.g., ACT-R, SOAR, PDP models)
  \*though not all (e.g. EPIC)

- Central to the original theories of cognitive control (e.g., Posner & Snyder, 1975; Shiffrin & Schneider, 1977)
- Continues to define the methodology for studying the engagement of cognitive control: dual task designs
- Foundational assumption of most\* current models of cognitive control (e.g., ACT-R, SOAR, PDP models)
  \*though not all (e.g. EPIC)
- Yet, an *explanation* for this constraint remains elusive

- Central to the original theories of cognitive control (e.g., Posner & Snyder, 1975; Shiffrin & Schneider, 1977)
- Continues to define the methodology for studying the engagement of cognitive control: dual task designs
- Foundational assumption of most\* current models of cognitive control (e.g., ACT-R, SOAR, PDP models)
  \*though not all (e.g. EPIC)
- Yet, an *explanation* for this constraint remains elusive

#### What is its *cause*, and *why* is it so *restrictive*?

## • "Explanations" commonly offered:

- metabolic (energetically costly) or
- structural (limited number of "slots")

## • "Explanations" commonly offered:

- metabolic (energetically costly) or
- structural (limited number of "slots")

## • Those explanations are silly

## • "Explanations" commonly offered:

- metabolic (energetically costly) or
- structural (limited number of "slots")

### • Those explanations are silly

- Metabolic?

- "Explanations" commonly offered:
  - metabolic (energetically costly) or
  - structural (limited number of "slots")

### Those explanations are silly

- Metabolic?
  - Vast areas of cortex (e.g., visual) are "running" all the time



- "Explanations" commonly offered:
  - metabolic (energetically costly) or
  - structural (limited number of "slots")

### • Those explanations are silly

- Metabolic?
  - Vast areas of cortex (e.g., visual) are "running" all the time
- Structural?



- "Explanations" commonly offered:
  - metabolic (energetically costly) or
  - structural (limited number of "slots")

## Those explanations are silly

- Metabolic?
  - Vast areas of cortex (e.g., visual) are "running" all the time
- Structural?
  - PFC comprises ~30% of neocortex = ~30 billion neurons... but only 1 control-demanding task at a time? Really?



- "Explanations" commonly offered:
  - metabolic (energetically costly) or
  - structural (limited number of "slots")

## Those explanations are silly

- Metabolic?
  - Vast areas of cortex (e.g., visual) are "running" all the time
- Structural?
  - PFC comprises ~30% of neocortex = ~30 billion neurons... but only 1 control-demanding task at a time? Really?

### The question begs a more rational explanation...





### • Structural models:

# - constraints of attractor systems (Usher et al., 2001)

### • Structural models:

- constraints of attractor systems (Usher et al., 2001)
- capacity vs. precision (e.g., Ma & Huang, 2009; Luck & Vogel, 2013, etc.)

### • Structural models:

- constraints of attractor systems (Usher et al., 2001)
- capacity vs. precision (e.g., Ma & Huang, 2009; Luck & Vogel, 2013, etc.)
  - These accounts still beg the question of <u>why</u> so strict, given ~30B neurons?

### • Structural models:

- constraints of attractor systems (Usher et al., 2001)
- capacity vs. precision (e.g., Ma & Huang, 2009; Luck & Vogel, 2013, etc.)
  - These accounts still beg the question of <u>why</u> so strict, given ~30B neurons?

### • Functional (computational) accounts:

- Symbolic models (production system architectures) (e.g., Meyer & Kieras, 1997; Salvucci & Taatgren, 2008)
  - Scheduling constraints to avoid cross-talk imposed by interacting processes
  - Assume pre-specified processing architecture

#### • Structural models:

- constraints of attractor systems (Usher et al., 2001)
- capacity vs. precision (e.g., Ma & Huang, 2009; Luck & Vogel, 2013, etc.)
  - These accounts still beg the question of <u>why</u> so strict, given ~30B neurons?

### • Functional (computational) accounts:

- Symbolic models (production system architectures) (e.g., Meyer & Kieras, 1997; Salvucci & Taatgren, 2008)
  - Scheduling constraints to avoid cross-talk imposed by interacting processes
  - Assume pre-specified processing architecture
  - Beg the question: <u>why</u> that architecture?

#### • Basic idea:

- Pathway overlap (shared representations) introduces potential for *cross-talk* 



Stimulus: **GREEN** 

### • Basic idea:

- Pathway overlap (shared representations) introduces potential for cross-talk
- The purpose of control is to manage this



Stimulus: **GREEN** 

### • Basic idea:

- Pathway overlap (shared representations) introduces potential for cross-talk
- The purpose of control is to manage this
- Can solve the problem without control...



### • Basic idea:

- Pathway overlap (shared representations) introduces potential for cross-talk
- The purpose of control is to manage this
- Can solve the problem without control... but that carries its own costs:


#### • Classic illustration:

(Shaffer et al., 1975)

- echoing a speech stream while copy-typing (easy) vs.
- dictation while reading aloud (hard)





























#### • Constraints on control-dependent processing reflect:

- the *representational cost* of shared representation and the role of control in avoiding this cost

#### • Constraints on control-dependent processing reflect:

- the *representational cost* of shared representation and the role of control in avoiding this cost
- not limitations in the control mechanism itself

• Constraints on control-dependent processing reflect:

- the *representational cost* of shared representation and the role of control in avoiding this cost
- not limitations in the control mechanism itself
- Inverts the standard interpretation:

Control reflects bound on rational processing

• Constraints on control-dependent processing reflect:

- the *representational cost* of shared representation and the role of control in avoiding this cost
- not limitations in the control mechanism itself
- Inverts the standard interpretation:

Control reflects rational bound on processing

- Descendant of the Multiple Resources theory of attention Navon & Gopher (1979); Allport (1982); Meyer & Kieras, (1997)
  - But that was a *qualitative* theory; also, what are *resources*?

• Descendant of the Multiple Resources theory of attention Navon & Gopher (1979); Allport (1982); Meyer & Kieras, (1997)

- But that was a *qualitative* theory; also, what are *resources*?

#### • Question:

– How does the maximum number of processes (multitasking) scale with potential crosstalk (shared representations) in networks?

- Descendant of the Multiple Resources theory of attention Navon & Gopher (1979); Allport (1982); Meyer & Kieras, (1997)
  - But that was a *qualitative* theory; also, what are *resources*?

#### • Question:

– How does the maximum number of processes (multitasking) scale with potential crosstalk (shared representations) in networks?

#### • Answer:

- It scales badly!
  - Modest amounts of overlap (sharing) *dramatically* limits multitasking
  - Constraints on multitasking reflect this tradeoff

- Descendant of the Multiple Resources theory of attention Navon & Gopher (1979); Allport (1982); Meyer & Kieras, (1997)
  - But that was a *qualitative* theory; also, what are *resources*?

#### • Question:

– How does the maximum number of processes (multitasking) scale with potential crosstalk (shared representations) in networks?

#### •Answer:

- It scales badly!
  - Modest amounts of overlap (sharing) dramatically limits multitasking
  - Constraints on multitasking reflect this tradeoff

#### • Formal treatment:

- **Numerical analysis** (simulations in a variety of network architectures)
- Mathematical analysis (graph theoretic analyses)

### Pathway Overlap (Shared Representation)



\*

Pathway Overlap (% sharing)

## Pathway Overlap (Shared Representation)



Learning benefits by shared representations

• But this costs us in the ability to multitask (i.e., do two control-demanding tasks at once)

• To see this, let's do a little demonstration...

### **Color Naming + Location Pointing**

Name the <u>color</u> of the stimulus and at the same time point to <u>where</u> it is...





## Word Mapping

Point left if the written word is



Point **<u>right</u>** if the written word is







# **Color Naming + Word Mapping**

#### Name the <u>color</u> of the following stimulus and, at the same time:

Point left if the written word is



Point **<u>right</u>** if the written word is







# **Learning and Multitasking**



# **Color Naming**



# **Color Naming + Location Pointing**



# Word Mapping


# **Color Naming + Word Mapping**



# **Color Naming + Word Mapping**



# **Color Naming + Word Mapping**











# **Single Task Training**



# **Single Task Training**



•

۲

 $\bigcirc \bigcirc$ 

# Single + Multitask Training



# Single + Multitask Training



#### Example

JANUARY, 1923

SCIENTIFIC AMERICAN

#### Doing Two Things at Once Multiple Consciousness, or Reflex Action of Unaccustomed Range?

By Dr. Alfred Gradenwitz

Thea Alba



#### Example

JANUARY, 1923

SCIENTIFIC AMERICAN

#### Doing Two Things at Once Multiple Consciousness, or Reflex Action of Unaccustomed Range? By Dr. Alfred Gradenwitz

Thea Alba





#### **Empirical Implications**

#### Multipurpose but serial

# Multitasking and parallel



Shared Representation (can't multitask with color naming)



# Shared Representation (can't multitask with color naming)



Predicted results



# Shared Representation (can't multitask with color naming)







### Summary

- Modest amounts of cross-talk produce a radical constraint on parallelism:
  - Need to go "serial" with even modest pathway overlap
    - $\Rightarrow$  constraints on multitasking capacity

### **Summary**

- Modest amounts of cross-talk produce a radical constraint on parallelism:
  - Need to go "serial" with even modest pathway overlap

 $\Rightarrow$  constraints on multitasking capacity

#### Control architecture is adapted to this constraint:

reflects optimization of tradeoff between
<u>shared</u> of representations and <u>processing efficiency</u>

#### •Fundamental tradeoff:

SHARED representations generalization & flexibility: *learning* efficiency

VS.

SEPARATED representations multitasking: performance efficiency

• Fundamental tradeoff: BIAS SHARED representations generalization & flexibility: vs. learning efficiency



- Capacity constraints in cognitive control:
  - purpose of cognitive control rather than a limitation

#### • Fundamental tradeoff:

SHARED representations generalization & flexibility: <sup>vs.</sup> *learning* efficiency

- Capacity constraints in cognitive control:
  - purpose of cognitive control rather than a limitation
- Continuum of serial vs. parallel processing in distributed systems

#### • Fundamental tradeoff:

AUTOMATIZATION SEPARATED representations multitasking: performance efficiency

- Capacity constraints in cognitive control:
  - purpose of cognitive control rather than a limitation
- Continuum of serial vs. parallel processing in distributed systems
- Trajectory from controlled to automatic processing (e.g., in skill acquisition)

#### • Fundamental tradeoff:

AUTOMATIZATION SEPARATED representations multitasking: performance efficiency

- Capacity constraints in cognitive control:
  - purpose of cognitive control rather than a limitation
- Continuum of serial vs. parallel processing in distributed systems
- Trajectory from controlled to automatic processing (e.g., in skill acquisition)
- Other fundamental psychological phenomena:
  - attention & "binding"

#### •Fundamental tradeoff:

AUTOMATIZATION SEPARATED representations multitasking: performance efficiency

- Capacity constraints in cognitive control:
  - purpose of cognitive control rather than a limitation
- Continuum of serial vs. parallel processing in distributed systems
- Trajectory from controlled to automatic processing (e.g., in skill acquisition)
- Other fundamental psychological phenomena:
  - attention & "binding"
- Defines an intertemporal choice between "getting by" and "getting trained"
  - how does the system decide this?
  - how can this be formalized?

Interactive Parallelism

Independent Parallelism

- Interactive Parallelism
  - many small *interacting* computations in the service of some single coherent higher level process

Independent Parallelism

Interactive Parallelism

- at the core of PDP (and now deep learning)

Independent Parallelism

- relies on shared representations (learning efficiency)
- Independent Parallelism

- requires "protection" from interference ⇒ control-dependent
- Independent Parallelism

- requires "protection" from interference ⇒ control-dependent
- Independent Parallelism
  - many separate unrelated computations

- requires "protection" from interference ⇒ control-dependent
- Independent Parallelism
  - at the core of traditional "embarrassing" parallelism (e.g., MPI)
### **Fundamental Tension**

Interactive Parallelism

- requires "protection" from interference ⇒ control-dependent
- Independent Parallelism

- relies on separated representations

### **Fundamental Tension**

Interactive Parallelism

- requires "protection" from interference ⇒ control-dependent
- Independent Parallelism

– allows "multitasking" ⇒ automaticity (processing efficiency)



• Multitasking and Control



• Miller's Law

#### Classics in the History of Psychology

Vol. 63, No. 2



*ньь* Макси, 1956

#### THE PSYCHOLOGICAL REVIEW

#### THE MAGICAL NUMBER SEVEN, PLUS OR MINUS TWO: SOME LIMITS ON OUR CAPACITY FOR PROCESSING INFORMATION '

#### GEORGE A. MILLER Harvard University

My problem is that I have been persecuted by an integer. For seven years this number has followed me around, has intruded in my most private data, and has assaulted me from the pages of our most public journals. This number assumes a variety of disguises, being sometimes a little larger and sometimes a little smaller than usual, but never changing so much as to be unrecognizable. The persistence with which this number plagues me is far more than a random accident. There is, to quote a famous senator, a design behind it, some pattern governing its appearances. Either there really is something unusual about the number or else I am suffering from delusions of persecution...



#### • Visual Working Memory Task



Absolute Perceptual Judgement



• Numerosity Estimation ("subtilizing")



#### • Visual Working Memory Task



Absolute Perceptual Judgement



• Numerosity Estimation ("subtilizing")







#### Classics in the History of Psychology

Vol. 63, No. 2



*ньь* Макси, 1956

#### THE PSYCHOLOGICAL REVIEW

#### THE MAGICAL NUMBER SEVEN, PLUS OR MINUS TWO: SOME LIMITS ON OUR CAPACITY FOR PROCESSING INFORMATION '

#### GEORGE A. MILLER Harvard University

My problem is that I have been persecuted by an integer. For seven years this number has followed me around, has intruded in my most private data, and has assaulted me from the pages of our most public journals. This number assumes a variety of disguises, being sometimes a little larger and sometimes a little smaller than usual, but never changing so much as to be unrecognizable. The persistence with which this number plagues me is far more than a random accident. There is, to quote a famous senator, a design behind it, some pattern governing its appearances. Either there really is something unusual about the number or else I am suffering from delusions of persecution...



For the present I propose to withhold judgment. Perhaps there is something deep and profound behind all these sevens, something just calling out for us to discover it. But I suspect that it is only a pernicious, Pythagorean coincidence.

### And the list goes on...

- Classic verbal short-term memory task (Sternberg, 1966)
- Classic working memory tasks (Baddeley, 1990; Cowan, 1999; Luck & Vogel, 1997)
- Attention / visual search tasks (Shiffrin & Schneider, 1977; Treisman & Gelade, 1980)
- Control-dependent processing (Posner & Snyder, 1975; Pashler, 1994)



### And the list goes on...



#### • All exhibit $\leq 2\frac{1}{2}$ bit capacity limit

# And the list goes on...



• But for the same reason?





**Internal Representation** 

"Phenomenal" code



#### **Internal Representation**

"Phenomenal" code Conjunctive





#### **Internal Representation**

"Phenomenal" code Conjunctive Compositional





# **Semanticity of Codes**

#### Conjunctive Code

											+	•	•	•	•	•
	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0
	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0
	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0
$\star$	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0
•	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0
•	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0
•	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0
•	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0
•	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1

# **Semanticity of Codes**

#### Conjunctive Code

				•		•	*	•	•	•	•	•
•												
•												

#### Semantic + Compositional Code

											*	•	•	•	•	•
	1	.3	.1	0	0	.1	0	0	0	0	0	0	0	0	0	0
	.3	1	.3	.1	0	0	0	0	0	0	0	0	0	0	0	0
	.1	.3	1	.3	.1	0	0	0	0	0	0	0	0	0	0	0
	0	.1	.3	1	.3	.1	0	0	0	0	0	0	0	0	0	0
	0	0	.1	.3	1	.3	0	0	0	0	0	0	0	0	0	0
	.1	0	0	.1	.3	1	0	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	1	.1	0	.2	0	0	0	0	0	0
	0	0	0	0	0	0	.1	1	.8	.4	.3	0	0	0	0	0
	0	0	0	0	0	0	0	.8	1	.1	.1	0	0	0	0	0
	0	0	0	0	0	0	.2	.4	.1	1	.9	0	0	0	0	0
$\star$	0	0	0	0	0	0	0	.3	.1	.9	1	0	0	0	0	0
•	0	0	0	0	0	0	0	0	.4	.6	0	1	.8	.6	.4	.2
•	0	0	0	0	0	0	0	0	0	.4	0	.8	1	.8	.6	.4
•	0	0	0	0	0	0	0	0	0	0	0	.6	.8	1	.8	.6
•	0	0	0	0	0	0	0	0	0	0	0	.4	.6	.8	1	.8
•	0	0	0	0	0	0	0	0	0	0	0	.2	.4	.6	.8	1

#### • Identification error:

- Traditional *information theoretic* construct (e.g, Optimal Coding, Rate Distortion Theory, etc.)
- Loss: transmitted code is not same as source code

#### • Identification error:

- **Traditional** *information theoretic* **construct** (e.g, Optimal Coding, Rate Distortion Theory, etc.)
- Loss: transmitted code is *not* <u>same as</u> source code
- Minimized by maximizing distance between codes:
   ⇒ conjunctive codes

#### • Identification error:

- **Traditional** *information theoretic* **construct** (e.g, Optimal Coding, Rate Distortion Theory, etc.)
- Loss: transmitted code is *not* <u>same as</u> source code
- Minimized by maximizing distance between codes:
   ⇒ conjunctive codes
- Probed by accuracy tasks

#### • Identification error:

- **Traditional** *information theoretic* **construct** (e.g, Optimal Coding, Rate Distortion Theory, etc.)
- Loss: transmitted code is *not* <u>same as</u> source code
- Minimized by maximizing distance between codes:
   ⇒ conjunctive codes
- Probed by accuracy tasks

#### • Generalization error:

- Traditional <u>cognitive</u> / modern <u>ML</u> construct (e.g, Shepherd's Universal Principle of Generalization)
- Loss: transmitted code is not *close to* source code

#### • Identification error:

- Traditional *information theoretic* construct (e.g, Optimal Coding, Rate Distortion Theory, etc.)
- Loss: transmitted code is not same as source code
- Minimized by maximizing distance between codes:
   ⇒ conjunctive codes
- Probed by accuracy tasks

#### • Generalization error:

- Traditional <u>cognitive</u> / modern <u>ML</u> construct (e.g, Shepherd's Universal Principle of Generalization)
- Loss: transmitted code is not *close to* source code
- Minimized by matching codes to structure of data:
   ⇒ semantic / compositional codes





#### • Identification error:

- Traditional *information theoretic* construct (e.g, Optimal Coding, Rate Distortion Theory, etc.)
- Loss: transmitted code is not same as source code
- Minimized by maximizing distance between codes:
   ⇒ conjunctive codes
- Probed by accuracy tasks

#### • Generalization error:

- Traditional <u>cognitive</u> / modern <u>ML</u> construct (e.g, Shepherd's Universal Principle of Generalization)
- Loss: transmitted code is not *close to* source code
- Minimized by matching codes to structure of data:
   ⇒ semantic / compositional codes
- Probed by **similarity** tasks





### **Information Bottleneck**

Tishby, Naftali, Pereira & William Bialek (2000)



### **Information Bottleneck**

Tishby, Naftali, Pereira & William Bialek (2000)



- Assume some covariance matrix over features of codes
  - implement similarity structure as exponentially decaying distance between features within a dimension (Shepherd, 1987)

- Assume some covariance matrix over features of codes
  - implement similarity structure as exponentially decaying distance between features within a dimension (Shepherd, 1987)
- Model two tasks:
  - Similarity task:

indicate stimulus in a set *most similar* to randomly selected probe indexes <u>representational</u> capacity (as a function of coding structure)

- Assume some covariance matrix over features of codes
  - implement similarity structure as exponentially decaying distance between features within a dimension (Shepherd, 1987)

#### • Model two tasks:

- Similarity task:

indicate stimulus in a set *most similar* to randomly selected probe indexes <u>representational capacity</u> (as a function of coding structure)

- Identification task:

identify probed item (based on one of its features)
indexes processing capacity (as a function of # items = set size)

### **Miller's Law**

Frankland, Webb, Lewis & Cohen (2025)

### *Identification Error* ~1/~ *Generalization Error*



### **Miller's Law**

Frankland, Webb, Lewis & Cohen (2025)

### *Identification Error* ~1/∞ *Generalization Error*



# **Ubiquitous Effect**



### **Ubiquitous Effect**

Inference (Frankland et al., 2024)








- Inescapable tradeoff between:
  - accuracy (identification error)
    - demands as much precision as possible (Shannon's Rate-Distortion Theory)

#### Inescapable tradeoff between:

- accuracy (identification error)
  - demands as much precision as possible (Shannon's Rate-Distortion Theory)
- similarity and abstraction (generalization error)
  - demands that similar things be represented similarly (Shepherd's Universal Law of Generalization)

#### Inescapable tradeoff between:

- accuracy (identification error)
  - demands as much precision as possible (Shannon's Rate-Distortion Theory)
- similarity and abstraction (generalization error)
  - demands that similar things be represented similarly (Shepherd's Universal Law of Generalization)

#### Miller's Law:

**[Representational** efficiency]<sup>k</sup>  $\cdot$  [**Processing** efficiency]  $\propto c$ 

#### Inescapable tradeoff between:

- accuracy (identification error)
  - demands as much precision as possible (Shannon's Rate-Distortion Theory)
- similarity and abstraction (generalization error)
  - demands that similar things be represented similarly (Shepherd's Universal Law of Generalization)

#### Miller's Law:

#### [**Representational** efficiency]<sup>k</sup> · [**Processing** efficiency] $\propto c$

$$Error_{gen} \cdot \frac{e^{-\frac{1}{2}Error_{id}} + \sigma}{e^{-\frac{2}{3}Error_{id}} + \sigma} = \frac{1}{2}$$

#### Inescapable tradeoff between:

- accuracy (identification error)
  - demands as much precision as possible (Shannon's Rate-Distortion Theory)
- similarity and abstraction (generalization error)
  - demands that similar things be represented similarly (Shepherd's Universal Law of Generalization)

#### Miller's Law:

**[Representational** efficiency]<sup>k</sup>  $\cdot$  [**Processing** efficiency]  $\propto c$ 

$$Error_{gen} \cdot \frac{e^{-\frac{1}{2}Error_{id}} + \sigma}{e^{-\frac{2}{3}Error_{id}} + \sigma} = \frac{1}{2}$$

• Optimum for generalization dramatically constrains identification:

- Inescapable tradeoff between:
  - accuracy (identification error)
    - demands as much precision as possible (Shannon's Rate-Distortion Theory)
  - similarity and abstraction (generalization error)
    - demands that similar things be represented similarly (Shepherd's Universal Law of Generalization)

#### Miller's Law:

**[Representational** efficiency]<sup>k</sup>  $\cdot$  [**Processing** efficiency]  $\propto c$ 

$$Error_{gen} \cdot \frac{e^{-\frac{1}{2}Error_{id}} + \sigma}{e^{-\frac{2}{3}Error_{id}} + \sigma} = \frac{1}{2}$$

- Optimum for generalization dramatically constrains identification:
- Case study: "Miller's tasks"



**N** 

Steven Frankland Dartmouth University







Steven Frankland Dartmouth University

#### • Encoding

- semantic, compositional codes (grid cells)
- informed by available *neural / psychophysical data:*





- semantic, compositional codes (grid cells)
- informed by available neural / psychophysical data:

#### • Memory

- rapid *Hebbian associative learning* in Hopfield network





Steven Frankland Dartmouth University





Steven Frankland Dartmouth University

#### • Encoding

- semantic, compositional codes (grid cells)
- informed by available neural / psychophysical data:

#### • Memory

- rapid *Hebbian associative learning* in Hopfield network

#### • Processing

- embed items
- encode in associative memory
- clamp probe
- settle to convergence (accuracy  $\approx$  cross-entropy; cycles  $\approx$  reaction time)
- evaluate as a function of set size

## Miller's Tasks

#### • Visual Working Memory Task



#### Absolute Perceptual Judgement





Numerosity Estimation ("subtilizing")



#### Data

Luck & Vogel, 1997

1 2 3 4 5 6 7 8 10 12 Number of items

(b) 100 90

Percent correct

70 60 50

## **Miller's Tasks**

#### • Visual Working Memory Task



#### • Absolute Perceptual Judgement



Pollack, 1952 INFORMATION TRANSMIT TED PITCHES 100-8000 CPS 4 INPUT INFORMATION



Numerosity Estimation ("subtilizing") 









#### Data

Luck & Vogel, 1997

12345678 10

Number of items

\_ 2.5 BITS

(b) 100

Percent correct 80

90

70

60

50





• Tasks that exhibit capacity constraints working/short term memory, novice performance

involve *novel/arbitrary* stimuli

• Tasks that exhibit capacity constraints working/short term memory, novice performance

involve *novel/arbitrary* stimuli ⇒ demand *generalization* 

- Tasks that exhibit capacity constraints working/short term memory, novice performance
  - involve novel/arbitrary stimuli
  - ⇒ demand *generalization*

but performance is evaluated with respect to *identification error* 

- Tasks that exhibit capacity constraints working/short term memory, novice performance
  - involve novel/arbitrary stimuli
  - ⇒ demand generalization
  - but performance is evaluated with respect to *identification error*
  - Capacity constraints in these tasks:

#### are <u>not</u> fundamentally due to <u>resource limitations</u>, but rather

- Tasks that exhibit capacity constraints working/short term memory, novice performance
  - involve *novel/arbitrary* stimuli
    - ⇒ demand *generalization*
    - but performance is evaluated with respect to *identification error*
  - Capacity constraints in these tasks:

are <u>not</u> fundamentally due to <u>resource limitations</u>, but rather

optimization of a fundamental tradeoff between representational efficiency (generalization) and processing efficiency

- Tasks that exhibit capacity constraints working/short term memory, novice performance
  - involve *novel/arbitrary* stimuli
  - ⇒ demand *generalization*
  - but performance is evaluated with respect to *identification error*
  - Capacity constraints in these tasks:

are <u>not</u> fundamentally due to <u>resource limitations</u>, but rather

optimization of a fundamental tradeoff between representational efficiency (generalization) and processing efficiency



Fundamental principle underlying cognitive function:

#### Fundamental principle underlying cognitive function:

• Memory: Complementary Learning Systems (McClelland et al., 1995)



**Pattern separation** (episodic memory: individuation & identification) **Pattern completion** (semantic memory: generalization)

#### Fundamental principle underlying cognitive function:

- Memory: Complementary Learning Systems (McClelland et al., 1995)
  Pattern separation (episodic memory: individuation & identification)
  Pattern completion (semantic memory: generalization)
- Attention: Feature Integration Theory (Treisman & Gelade, 1980) Serial search (compositional coding & the "Binding problem") Parallel search (conjunctive codes)





#### Fundamental principle underlying cognitive function:

- Memory: Complementary Learning Systems (McClelland et al., 1995)
  Pattern separation (episodic memory: individuation & identification)
  Pattern completion (semantic memory: generalization)
- Attention: Feature Integration Theory (Treisman & Gelade, 1980) Serial search (compositional coding & the "Binding problem") Parallel search (conjunctive codes)
- Performance: Automaticity and Control (Musslick et al, 2024)
  Control-dependent, serial processing (shared, compositional reps)
  Automatic, parallel multitasking (separated conjunctive reps)







• If this is truly a general principle... it should be true not *just* for humans...

#### • If this is truly a general principle... it should be true not *just* for humans...

• Same should be true massively large models, irrespective of size...

- If this is truly a general principle... it should be true not just for humans...
- Same should be true massively large models, irrespective of size...
  such as (V/L)LM's:

- If this is truly a general principle... it should be true not *just* for humans...
- Same should be true massively large models, irrespective of size...
  such as (V/L)LM's:
  training exerts beaux pressure for generalization
  - training exerts heavy pressure for generalization

- If this is truly a general principle... it should be true not *just* for humans...
- Same should be true massively large models, irrespective of size...
  such as (V/L)LM's:
  - training exerts heavy pressure for *generalization* ⇒ encoding of *similarity structure* in representations

- If this is truly a general principle... it should be true not *just* for humans...
- Same should be true massively large models, irrespective of size...
  - such as (V/L)LM's:
    - training exerts heavy pressure for generalization
    - ⇒ encoding of *similarity structure* in representations
    - ⇒ should *impose constraint on capacity* in same tasks as humans...



Declan Campbell Princeton Neuroscience



Declan Campbell Princeton Neuroscience

#### • LLMs appear to be bound by the same constraints:

- binding errors (illusory conjunctions) in visual working memory tasks



Declan Campbell Princeton Neuroscience

#### • LLMs appear to be bound by the same constraints:

- binding errors (illusory conjunctions) in visual working memory tasks
- subitizing limits in generation:

**Prompt:** Generate an image with <n> sheep



Declan Campbell Princeton Neuroscience

#### • LLMs appear to be bound by the same constraints:

- binding errors (illusory conjunctions) in visual working memory tasks
- subitizing limits in generation:

**Prompt:** Generate an image with <n> sheep

n=3

Response:




Declan Campbell Princeton Neuroscience

### • LLMs appear to be bound by the same constraints:

- binding errors (illusory conjunctions) in visual working memory tasks
- subitizing limits in generation:

**Prompt:** Generate an image with <n> sheep

n=8

Response:





Declan Campbell Princeton Neuroscience

### • LLMs appear to be bound by the same constraints:

- binding errors (illusory conjunctions) in visual working memory tasks
- subitizing limits in generation and inference:

**Prompt:** Count the number of boats in the image:





Declan Campbell Princeton Neuroscience

### • LLMs appear to be bound by the same constraints:

- binding errors (illusory conjunctions) in visual working memory tasks
- subitizing limits in generation and *inference:*

**Prompt:** Count the number of boats in the image:



**Response:** There are 6 boats in the image

#### • LLMs appear to be bound by the same constraints:

- binding errors (illusory conjunctions) in visual working memory tasks
- subitizing limits in generation and inference
- visual search:

Prompt: Where's Waldo?

**GPT-4v:** I found Waldo! He's in the bottom left corner of the image, standing behind a woman in yellow clothing. You can spot him by his signature red and white striped shirt, blue pants, glasses, and beanie.



#### • LLMs appear to be bound by the same constraints:

- binding errors (illusory conjunctions) in visual working memory tasks
- subitizing limits in generation and inference
- visual search:

Prompt: Where's Waldo?

**GPT-4v:** I found Waldo! He's in the bottom left corner of the image, standing behind a woman in yellow clothing. You can spot him by his signature red and white striped shirt, blue pants, glasses, and beanie.



#### • LLMs appear to be bound by the same constraints:

- binding errors (illusory conjunctions) in visual working memory tasks
- subitizing limits in generation and inference
- visual search:

Prompt: Where's Waldo?

**GPT-4v:** I found Waldo! He's in the bottom left corner of the image, standing behind a woman in yellow clothing. You can spot him by his signature red and white striped shirt, blue pants, glasses, and beanie.



### • LLMs appear to be bound by the same constraints:

- binding errors (illusory conjunctions) in visual working memory tasks
- subitizing limits in generation and inference
- visual search

• ? Fundamental principle of information processing: that defines the envelope of cognitive function in natural *and* artificial minds:

### • LLMs appear to be bound by the same constraints:

- binding errors (illusory conjunctions) in visual working memory tasks
- subitizing limits in generation and inference
- visual search

• ? Fundamental principle of information processing: that defines the envelope of cognitive function in natural *and* artificial minds:

### • LLMs appear to be bound by the same constraints:

- binding errors (illusory conjunctions) in visual working memory tasks
- subitizing limits in generation and inference
- visual search

• ? Fundamental principle of information processing: that defines the envelope of cognitive function in natural *and* artificial minds:

### • LLMs appear to be bound by the same constraints:

- binding errors (illusory conjunctions) in visual working memory tasks
- subitizing limits in generation and inference
- visual search

 Fundamental principle of information processing: that defines the envelope of cognitive function in natural and artificial minds:

Representational Capacity \* Processing Capacity  $\propto \&$