The Relational Bottleneck as an Efficient Bias for Abstraction

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How Do People Learn **Abstractions**?



Key idea: We can quickly and reliably generalize to novel situations

In contrast, artificial neural networks seem to require HUGE amounts of training data to learn and they struggle to generalize out-of-distribution...



So what's missing from neural network architectures?

Comectionism

Symbolic Computing

... Perhaps aspects of symbolic computing!

Symbolic Computing

- ★ Pro: By design, symbols are abstracted from the content to which they refer
- ★ Pro: Accounts for systematicity, productivity, and compositionality (Fodor & Pushlyn, 1988)
- ★ Con: Faces a combinatorial explosion of possibilities to account for (curse of dimensionality), and does not shed light on neural implementation

A possible solution:

- ★ Merge the flexibility of symbolic computing with the efficiency of neural networks...
- ★ ... by building in the right kinds of inductive biases that allow for efficient abstraction

Connectionism

- ★ Pro: Very efficient at representing high-dimensional continuous information
- **Pro:** Provides a neural implementation
- **Con:** Often need huge amounts of training data

Outline

- 1. Introduce the relational bottleneck
- 2. Look at concrete neural architectures that use the relational bottleneck, and explore connections to LLMs
- 3. Connect the relational bottleneck to biological neural computation

The Relational Bottleneck as an Inductive Bias



We want a **compression** of the data that **only** preserves abstract relational structure!

(Fig. 1 from Webb et al., 2024)

The Relational Bottleneck as an Information Bottleneck

- ★ The relational bottleneck is a manifestation of a deeper principle in information theory known as the information bottleneck (Tishby et al., 2000)
 - An abstract framework in which we seek to produce a maximal compression of input information that is still sufficient to produce some downstream output
 - Mathematically, for an input X and output Y, we produce an optimally compressed variable R

$$R = \{r(x_i, x_j) \min_{p(R|X)} I(X; R) - \beta I(Y; R) , r(x_{N-1}, x_N)\}$$

A Key Construction: Inner Products

★ We can filter out the second-order relational information by converting specific object representations into the pairs of inner products between them



Neural Structure Implementations



Isolating perceptual and abstract processing components

- External memory (similar to Episodic Memory)
- Two separate pathways:
 - Perceptual: keys & queries
 - Abstract: values & controller

Taylor, Webb, et al. "Emergent symbols through binding in external memory." International Conference on Learning Representations (ICLR) 2021.

Neural Structure Implementations



- forming a **relation matrix**
- Downstream processing only relies on the relation matrix

Kerg, Giancarlo, et al. "On neural architecture inductive biases for relational tasks." arXiv preprint arXiv:2206.05056 (2022).

Neural Structure Implementations



Altabaa, A. et al. "Abstractors and relational cross-attention: An inductive bias for explicit relational reasoning in Transformers." ICLR 2024.

Standard Attention Mechanisms in Transformers



Standard Attention Mechanisms in Transformers



Standard Attention Mechanisms in Transformers



⇒ Relational Cross-Attention



Output embeddings only encode the **relational information** without any semantic information

- Positional symbols
- Position-relative symbols

Standard Attention Mechanisms



⇒ Relational Cross-Attention



Re

Self Attention

Relational Cross Attention

Advantages of Relational Bottleneck

• Better out-of-distribution generalization









Advantages of Relational Bottleneck

- Data-efficient Learning
- Faster Learning



No explicit inductive bias Does relational bottleneck emerge in general-purpose neural architectures (Large Language Models)? Generic Large Language Models (LLMs) Show Abstract Reasoning Abilities





Taylor, Webb, et al,. "Emergent analogical reasoning in large language models." *Nature Human Behaviour* 7.9 (2023): 1526-1541.

• Generative Identity Rule Tasks



- Pretrained Language Model: Llama 3.1
- 70B parameters
- Trained on ~15 trillion tokens

• Performance:

95% accuracy with 2 in-context examples









Using Causal Mediation Analyses to identify significant heads



The Relational Bottleneck in the Mind and Brain

- ★ The relational bottleneck can model inductive transitions in early development
 - Ex. children learning to gradually count to ~4 and then rapidly learning to count much higher
 - \circ A transition from memorization \rightarrow abstraction
- ★ At a neural level, the relational bottleneck may arise as a consequence of episodic memory (e.g. hippocampus)
 - Relates to deeper underlying computational principles of conjunctive versus compositional coding that could explain the tradeoff between processing and representational efficiency

EM as a Hetero-associative Modern Hopfield Network



EM as a Hetero-associative Modern Hopfield Network



The Case-Letter Task







Thank you! Any questions?